STARK STRATEGIC
CAPITAL MANAGEMENT, INC ℠

# FINANCIAL PLANNING DOCUMENTS

## Tax Information

1. Tax returns (last two years)
2. W-2's
3. Pay stubs
4. All other information, statements, and schedules included with the tax returns

## Financial Institution Statements/Investments

1. Bank (savings, checking, money market)
2. Investment, brokerage & mutual fund statements
3. Mortgage & home equity line information (loan documents and/or specifics on the terms and rates, e.g., 30 year, 15 year, fixed, variable, 6% 5.5%, etc.)
4. Loan documents including automobile, boat, credit card, etc.
5. Any other assets or liabilities not listed here

## Retirement Plans & Other Info.

1. 401(k), 403(b), 457, IRA, & Roth 401(k) statements with *former* employer(s)
2. IRAs, including Roth IRAs, SEP IRAs, & SIMPLE IRAs from former and current employer(s)
3. Any other previous employer retirement statements
4. Social Security information including your most recent annual personal earnings and benefit estimate statement provided by the Social Security Administration

## Employer Benefits

1. 401(k), 403(b), 457, IRA, & Roth 401(k) and/or any other retirement savings information with *current* employer
2. Pension information, e.g., defined benefit
3. Stock options – ISOs & NQSOs
4. Life, health, long-term care, & disability insurance policies (see below)

## Insurance

1. Home & auto policies
2. Life, health, disability, & long-term care policies
3. Annuity policies – fixed, variable, etc.

## Estate Planning Documents

1. Trusts, wills, powers of attorney
2. Living wills & health care directives

** I only need to know whether or not you have the following documents and if they're up to date, i.e., when were they last prepared. I don't need the actual documents. If you do not have these prepared as of yet, SSCM, Inc. may be able to recommend an estate planning attorney appropriate for your needs.*

## Other

1. Client-owned business information and/or other business agreements
2. Titles for homes, cars, real estate, and any other investment properties & assets
3. Beneficiary designations for any/all documents